CITY OF EULESS
BROKER/DEALER QUESTIONNAIRE

1. A) Name of Firm:

Address & Telephone Numbers:

National Offices/Headquarters	Years Established	Size of Employees

Principal Local Representative

Name:
Title:
Phone:

B) Are you registered with the Texas State Securities Commission to do business in Texas?

2. Are you a primary dealer in U.S. government securities?

( ) Yes. How long has your firm been a primary dealer: ________ years

( ) No. Has firm ever held primary dealer status? If so, then and why lost? (Attach information)

3. Complete the chart below which shows your firm's current and previous five - year compliance with the Federal Reserve Bank's capital adequacy guidelines. *

Capital Adequacy Ration	1985	1986	1987	1988	1989
	NA	NA	NA	NA	NA
	1990	**1991**	**1992**	**1993**	

*Source: "Capital Adequacy Guidelines for U.S. Government Securities Dealer" - Federal Reserve Bank of New York - May 20, 1985.

4. Will you agree to sign certification (Sample attached) regarding compliance with Federal Reserve Bank capital adequacy guidelines?

( ) Yes

( ) No, explanation required, attach.

5. Identify all personnel who will be trading with or quoting securities to our employees (Attach current resumes' of all personas listed):

Name	Title	Telephone Number	Years Exp.	Years w/ Company	License or Registered by

6. Please identify your public-sector* clients in our geographical area who are most comparable to our Government.

Entity	Contact Person	Telephone Number	Client Since

*Public sector is defined as municipalities, school districts, counties, special districts, or other local government agencies.

7. Has any of your public-sector* clients ever sustained or claimed a loss on a securities transactions Or a loss of principal arising from a misunderstanding or misrepresentation of the risk characteristics of a recommended instrument purchased through your firm? (Attach Explanation) (Evidence of loss will not necessarily constitute disqualification.)

8. a) Has your firm ever been subject to a regulatory or state or federal agency investigation for alleged improper, fraudulent, disreputable, or unfair activities related to the sale of government securities or money market instruments? (Attach explanation)

   b) Has your firm ever been temporarily closed or prohibited from trading due to net capital adequacy? (Attach explanation)

   c) Have any of the employees listed in item five (5) ever had sanctions imposed due to any of the actions noted in 8(a)? Explain the outcome, case and/or case citation. (Attach explanation)

9. Please chart your normal custody and delivery process, describing reports, transactions, confirmations, schedules, and timing.

10. Describe training you would provide or make available to our employees and investment officers.

11. Do you participate in the SIPC insurance program?

   ( ) Yes. Describe additional coverage.

   ( ) No. Explain.

12. What portfolio information do you prefer from your clients? (We are interested in samples of Recommended formats.)

13. Please enclose a complete schedule of fees and charges or explain methods/means of broker compensation for various types of transactions.

14. Please include samples of research reports that your firm regularly provides to public-sector clients as a "free" service. If your firm is selected, would we automatically receive such reports?

15 Please provide a copy of the most recent audited annual financial statement and any subsequent quarterly financial reports.

Responses to this questionnaire must be in our format/order, even if retyped. No letters, essays, ect., which generally "address" the questions will be accepted except as a supplemental information attached as such.

What was your firm's total volume in U.S. Government and agency securities trading last year?

Which instruments are offered regularly by your local desk?

( )T-bills	( ) BAs (domestic)
( ) Treasury notes/bonds	( ) BAs (foreign)
( ) Agencies (specify)	( ) Commercial Paper
FHLB, FFCB, SLMA	( ) Bank CDs
TVA, Farmer Mac	( ) Other (specify) SBA's
( ) Instruments FNMA & FHLMC	( ) MBS

Describe the precautions taken by your firm to protect the interests of the public when dealing with government agencies as investors.